CofO





Robert Greene Sterne Jorge A. Goldstein David K.S. Cornweil Robert W. Esmond Tracy-Gene G. Durkin Michele A. Cimbala Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee John M. Covert Robert C. Millonig Donald J. Featherstone Timothy J. Shea, Jr Michael V. Messinger Judith U. Kim Jeffrey T. Helvey Eldora L. Ellison Donald B. Banowit Peter A. Jackman Brian J. Del Buono Mark Fox Evens Vincent L. Capuano Elizabeth J. Haanes Michael D. Specht Kevin W. McCabe Glenn J. Perry Theodore A. Wood Edward W. Yee Grant E. Reed Jason D. Eisenberg Tracy L. Muller Jon E. Wright Luchne M. DeSantis Helene C. Carlson Cynthia M. Bouchez Limothy A. Doyle Gaby L. Longsworth Lori A. Gordon Laura A. Vogel Shannon A. Carroll Anbar F. Khal Michelle K. Holoubek Marsha A. Rose Scott A. Schailer Lei Zhou W. Blake Coblentz James J. Pohl John T. Haran Mark W. Rygiel Michael R. Malek\* Carla Ji-Eun Kim Doyle A. Siever\* Ulrike Winkler Jenks Paul A. Calvo C. Matthew Rozier\* Randall K. Baldwin Daniel J. Nevrivy
Lori M. Brandes
Jeffrey K. Mills\*
Mita Mukherjee\*
Scott M. Woodhouse\*
Christian A. Camarce\*
Richard D. Coller III\*
Keisha Hylton-Rodic
Bonnie Nannenga-Combs
Alyssa K. Sandrowitz\*
Jonathan M. Strang\*
Ishan P. Weerakoon\*

Registered Patent Agents • Karen R. Markowicz Matthew J. Dowd Peter A. Socarras Danielle L. Letting Steven C. Oppenheimer

Attn: Certificate of Correction Branch

Aaron S. Lukas Gaurav Asthana Stephanie L. Elmer Robert E. Bakin Salvador M. Bezos Yasser Mourtada

Of Counsel
Edward J. Kessler
Kenneth C. Bass III
Marvin C. Guthrie
Christopher P. Wrist
David C. Isaacson

\*Admitted only in Maryland

\*Admitted only in Virginia

\*Practice Limited to
Federal Agencies

September 4, 2008

WRITER'S DIRECT NUMBER: (202) 772-8560 INTERNET ADDRESS: RESMOND@SKGF.COM

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Patent No. 7,375,093 B2; Issued: May 20, 2008

For: Ketone Ligands for Modulating the Expression of Exogenous Genes

Via An Ecdysone Receptor Complex

Inventors: TICE et al.

Our Ref: 2584.0020001/RWE/MFG

Certificate

SEP 0 8 2008

Sir:

of Correction

Transmitted herewith for appropriate action are the following documents:

- 1. Request for Certificate of Correction Under 37 C.F.R. § 1.322;
- 2. Certificate of Correction (PTO/SB/44);
- 3. Power of Attorney to Prosecute Applications Before the USPTO;
- 4. Statement Under 37 C.F.R. § 3.73(b); and
- 5. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Patent Publication

Commissioner for Patents September 4, 2008 Page 2

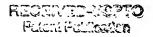
The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond Attorney for Patentees Registration No. 32,893

RWE/MFG/dac 870413\_1.DOC Enclosures





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of:

TICE et al.

Patent. No.: 7,375,093 B2

Issued: May 20, 2008

For: KETONE LIGANDS FOR
MODULATING THE
EXPRESSION OF EXOGENOUS
GENES VIA AN ECDYSONE
RECEPTOR COMPLEX

Confirmation No.: 3335

Art Unit: 1633

Examiner: POPA, Ileana

Atty. Docket: 2584.0020001/RWE/MFG

# Request for Certificate of Correction Under 37 C.F.R. § 1.322

Attn: Certificate of Correction Branch

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

It is hereby requested that a Certificate of Correction under 37 C.F.R. § 1.322 be issued for the above-captioned United States Patent. This Certificate of Correction is being requested due to mistakes which appear in the printed patent. These mistakes were made by the U.S. Patent and Trademark Office.

Specifically, the printed patent contains the following errors for which a

Certificate of Correction is respectfully requested:

Requested Change	Location of Support in File History		
In claim 1, column 101, line 50, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>6</sub> )haloalkylthio" and insert therefor	§ 1.114 filed on May 14, 2007, at page 3,		
$(C_1-C_6)$ haloalkylthio	line 7 from the top.		
In claim 1, column 102, line 37, please delete	Amendment and Reply Under 37 C.F.R.		
"(C <sub>1</sub> -C <sub>6</sub> )alkylsulfinyl(Ct-C <sub>6</sub> )alkyl" and insert	§ 1.114 filed on May 14, 2007, at page 4,		
therefor $(C_1-C_6)$ alkylsulfinyl $(C_1-C_6)$ alkyl -	line 10 from the top.		
In claim 1 column 102 lines 29 20 mlans	•		
In claim 1, column 102, lines 38-39, please delete "C <sub>1</sub> -C <sub>6</sub> )alkylamino(C <sub>1</sub> -C <sub>6</sub> )alkyl" and	Amendment and Reply Under 37 C.F.R.		
insert therefor $(C_1-C_6)$ alkylamino $(C_1-C_6)$	§ 1.114 filed on May 14, 2007, at page 4,		
$C_6$ )alkyl	line 11 from the top.		
In claim 1, column 102, line 56, please delete	Amendment and Reply Under 37 C.F.R.		
"i -C <sub>2</sub> )alkylthio(C <sub>1</sub> -C <sub>2</sub> )alkyl" and insert	§ 1.114 filed on May 14, 2007, at page 4,		
therefor $(C_1-C_2)$ alkylthio $(C_1-C_2)$ alkyl	line 7 from the bottom.		
In claim 1, column 103, line 15, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>3</sub> )alkoxycarbonyl" and insert therefor	§ 1.114 filed on May 14, 2007, at page 5,		
(C <sub>1</sub> -C <sub>3</sub> )alkoxycarbonyl	line 13 from the top.		
In claim 1, column 103, line 57, please delete	Amendment and Reply Under 37 C.F.R.		
"0" and insert therefor O	§ 1.114 filed on May 14, 2007, at page 6, line 12 from the top.		
In claim 1, column 103, lines 62-63, please	Amendment and Reply Under 37 C.F.R.		
delete "1-C <sub>3</sub> )alkylamino" and insert therefor -	§ 1.114 filed on May 14, 2007, at page 6,		
- (C <sub>1</sub> -C <sub>3</sub> )alkylamino	line 15 from the bottom.		
In claim 1, column 104, line 45, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>6</sub> )haloalkylsulfinyl" and insert therefor	§ 1.114 filed on May 14, 2007, at page 7,		
(C <sub>1</sub> -C <sub>6</sub> )haloalkylsulfinyl	line 9 from the bottom.		
In claim 1, column 105, line 2, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>3</sub> )alkylamino" and insert therefor (C <sub>1</sub> -	§ 1.114 filed on May 14, 2007, at page 8,		
C <sub>3</sub> )alkylamino	line 10 from the top.		
In claim 2, column 105, line 67, please delete	Amendment and Reply Under 37 C.F.R.		
"0, or" and insert therefor O, or S;	§ 1.114 filed on May 14, 2007, at page		
	13, line 8 from the top.		
In claim 2, column 106, line 13, after	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page		
"methoxyimino" please insert;	13, line 12 from the bottom.		
In claim 2, column 106, line 26, please delete	nie 45.752-757.5		
"1-C <sub>3</sub> )haloalkylsulfonyl, 1-C <sub>3</sub> )alkylamino "	Amendment and Reply Under 37 C.F.R.		
and insert therefor (C <sub>1</sub> -	§ 1.114 filed on May 14, 2007, at page 13, line 3 from the bottom.		
C <sub>3</sub> )haloalkylsulfonyl, (C <sub>1</sub> -C <sub>3</sub> )alkylamino	13, line 3 from the bottom.		
In claim 2, column 106, line 49, please delete	Amendment and Reply Under 37 C.F.R.		
"C <sub>3</sub> )alkoxycarbonyl " and insert therefor	§ 1.114 filed on May 14, 2007, at page		
$(C_1-C_3)$ alkoxycarbonyl	14, line 11 from the top.		

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In claim 2, column 106, line 51, after	Amendment and Reply Under 37 C.F.R.		
"positions on" please insert R <sup>4</sup>	§ 1.114 filed on May 14, 2007, at page		
	14, line 12 from the bottom.		
In claim 2, column 106, lines 63-64, please	Amendment and Reply Under 37 C.F.R.		
delete "C <sub>1</sub> -C <sub>3</sub> )alkylsulfonyl" and insert	§ 1.114 filed on May 14, 2007, at page		
therefor (C <sub>1</sub> -C <sub>3</sub> )alkylsulfonyl	14, line 3 from the bottom.		
In claim 2, column 106, line 67, please delete	Amendment and Reply Under 37 C.F.R.		
"di(C <sub>1</sub> -C <sub>2</sub> )alkylamino(C <sub>1</sub> -C <sub>9</sub> alkyl" and insert	§ 1.114 filed on May 14, 2007, at page		
therefor $di(C_1-C_2)alkylamino(C_1-C_2)alkyl$ -	14, line 1 from the bottom and page 15,		
ļ	line 1 from the top.		
In claim 2, column 107, line 3, after	Amendment and Reply Under 37 C.F.R.		
"methoxyimino" please insert	§ 1.114 filed on May 14, 2007, at page		
	15, last line.		
In claim 3, column 107, line 52, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>3</sub> )alkylamino" and insert therefor (C <sub>1</sub> -	§ 1.114 filed on May 14, 2007, at page		
C <sub>3</sub> )alkylamino	17, line 8 from the top.		
In claim 3, column 108, line 6, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>3</sub> )alkoxycarbonyl" and insert therefor	§ 1.114 filed on May 14, 2007, at page		
(C <sub>1</sub> -C <sub>3</sub> )alkoxycarbonyl	17, line 4 from the bottom.		
In claim 3, column 108, line 8, after	Amendment and Reply Under 37 C.F.R.		
"positions on" please insert R <sup>4</sup>	§ 1.114 filed on May 14, 2007, at page		
	17, line 2 from the bottom.		
In claim 3, column 108, line 15, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>3</sub> )alkyl" and insert therefor (C <sub>1</sub> -	§ 1.114 filed on May 14, 2007, at page		
C <sub>3</sub> )alkyl	18, line 4 from the top.		
In claim 3, column 108, line 20, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>4</sub> )alkoxycarbonyl" and insert therefor	§ 1.114 filed on May 14, 2007, at page		
(C <sub>1</sub> -C <sub>4</sub> )alkoxycarbonyl	18, line 7 from the top.		
In claim 4, column 108, line 57, please delete	Amendment and Reply Under 37 C.F.R.		
"of( $C_1$ - $C_3$ )alkyl" and insert therefor of ( $C_1$ -	§ 1.114 filed on May 14, 2007, at page		
C <sub>3</sub> )alkyl	20, lines 10-11 from the bottom.		
In claim 4, column 108, line 58, please delete	Amendment and Reply Under 37 C.F.R.		
"(C <sub>1</sub> -C <sub>4</sub> alkoxycarbonyl" and insert therefor	§ 1.114 filed on May 14, 2007, at page		
(C <sub>1</sub> -C <sub>4</sub> )alkoxycarbonyl	20, line 10 from the bottom.		
In claim 4, column 108, line 64, please delete	Amendment and Reply Under 37 C.F.R.		
";" and insert therefor	§ 1.114 filed on May 14, 2007, at page		
,	21, line 9 from the top		
In claim 5, column 109, line 5, please delete	Amendment and Reply Under 37 G.F.R.		
";" and insert therefor	§ 1.114 filed on May 14, 2007, at page		
	21, last line. SEP - 8 2008		
In claim 7, column 109, line 65, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>6</sub> )haloalkylsulfonyl" and insert therefor -	§ 1.114 filed on May 14, 2007, at page		
- (C <sub>1</sub> -C <sub>6</sub> )haloalkylsulfonyl	23, line 11 from the bottom.		

In claim 7, column 110, lines 3-4, please delete "(C <sub>1</sub> C <sub>6</sub> )alkoxy(C <sub>1</sub> -C <sub>6</sub> )alkyl" and insert therefor (C <sub>1</sub> -C <sub>6</sub> )alkoxy(C <sub>1</sub> -C <sub>6</sub> )alkyl	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 23, lines 6-7 from the bottom.
In claim 7, column 110, line 24, please delete "1-C <sub>3</sub> )alkylamino" and insert therefor (C <sub>1</sub> -C <sub>3</sub> )alkylamino	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 24, line 10 from the top.
In claim 7, column 110, line 42, after "N, O, or", insert S;	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 24, line 5 from the bottom.
In claim 7, column 110, line 50, please delete "(C <sub>1</sub> -C <sub>2</sub> )alkylamino(Ct-C <sub>2</sub> )alkyl" and insert therefor (C <sub>1</sub> -C <sub>2</sub> )alkylamino(C <sub>1</sub> -C <sub>2</sub> )alkyl	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 25, line 2 from the top.
In claim 7, column 111, line 20, please delete "1-C <sub>3</sub> )alkoxycarbonyl " and insert therefor (C <sub>1</sub> -C <sub>3</sub> )alkoxycarbonyl	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 25, line 2 from the bottom.
In claim 8, column 114, lines 10-11, please delete "1-C <sub>6</sub> )alkoxy(C <sub>3</sub> -C <sub>6</sub> )cycloalkyl" and insert therefor (C <sub>1</sub> -C <sub>6</sub> )alkoxy(C <sub>3</sub> -C <sub>6</sub> )cycloalkyl	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 33, line 2 from the bottom.
In claim 8, column 114, line 37, after "cyano(C <sub>1</sub> -C <sub>3</sub> )alkyl please insert;	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 34, line 13 from the bottom.
In claim 8, column 114, line 49, please delete "0, or" and insert therefor O, or S;	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 34, line 5 from the bottom.
In claim 8, column 114, line 54, please delete "1-C <sub>3</sub> )alkylsulfonyl" and insert therefor (C <sub>1</sub> -C <sub>3</sub> )alkylsulfonyl	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 34, line 1 from the bottom.
In claim 8, column 115, line 10, please delete "cyano(C <sub>1</sub> C <sub>6</sub> )alkyl" and insert therefor cyano(C <sub>1</sub> -C <sub>6</sub> )alkyl	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 35, line 14 from the top.
In claim 8, column 115, line 22, please delete "(C 1-C <sub>3</sub> )alkylsulfonyl" and insert therefor (C <sub>1</sub> -C <sub>3</sub> )alkylsulfonyl	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 35, line 5 from the bottom.
In claim 8, column 115, lines 51-52, please delete "1-C <sub>6</sub> )haloalkoxy" and insert therefor (C <sub>1</sub> -C <sub>6</sub> )haloalkoxy	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 36, line 13 from the bottom.
In claim 8, column 116, line 20, please delete "C <sub>1</sub> -C <sub>6</sub> )haloalkylsulfonyl" and insert therefor (C <sub>1</sub> -C <sub>6</sub> )haloalkylsulfonyl	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 37, line 11 from the top.
In claim 8, column 116, line 47, please delete "1-C <sub>3</sub> )alkylsulfonyl" and insert therefor (C <sub>1</sub> -C <sub>3</sub> )alkylsulfonyl	Amendment and Reply Under 37 C.F.R. § 1.114 filed on May 14, 2007, at page 37, line 1 from the bottom.

In claim 8, column 117, lines 8-9, please	Amendment and Reply Under 37 C.F.R.		
delete "1-C <sub>3</sub> )alkylaminocarbonyl" and insert	§ 1.114 filed on May 14, 2007, at page		
therefor (C <sub>1</sub> -C <sub>3</sub> )alkylaminocarbonyl	38, line 11 from the bottom.		
In claim 9, column 117, line 50, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>6</sub> )haloalkylthio" and insert therefor	§ 1.114 filed on May 14, 2007, at page		
(C <sub>1</sub> -C <sub>6</sub> )haloalkylthio	43, line 4 from the top.		
In claim 9, column 117, line 52, please delete	Amendment and Reply Under 37 C.F.R.		
"(C <sub>1</sub> -C <sub>6</sub> )halocycloalkylamino" and insert	§ 1.114 filed on May 14, 2007, at page		
therefor (C <sub>3</sub> -C <sub>6</sub> )halocycloalkylamino	43, line 6 from the top.		
In claim 9, column 118, line 42, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>3</sub> )alkylamino" and insert therefor (C <sub>1</sub> -	§ 1.114 filed on May 14, 2007, at page		
C <sub>3</sub> )alkylamino	44, line 6 from the bottom.		
In claim 9, column 119, line 3, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>3</sub> )alkylaminocarbonyl" and insert	§ 1.114 filed on May 14, 2007, at page		
therefor (C <sub>1</sub> -C <sub>3</sub> )alkylaminocarbonyl	45, line 15 from the top.		
In claim 9, column 121, line 14, please delete	Amendment and Reply Under 37 C.F.R.		
"1-C <sub>3</sub> )alkylamino" and insert therefor (C <sub>1</sub> -	§ 1.114 filed on May 14, 2007, at page		
C <sub>3</sub> )alkylamino	46, line 9 from the bottom.		

#### Remarks

The above-noted corrections do not involve such changes in the patent as would constitute new matter or would require reexamination.

A completed Form PTO/SB/44 accompanies this request, with the above-noted corrections printed thereon. Accordingly, a Certificate of Correction is believed proper and issuance thereof is respectfully requested.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond Attorney for Applicants Registration No. 32,893

Date: Sept 4 2008

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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FEGERAL PROPERTY

#### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page <u>1</u> of <u>5</u>

PATENT NO: 7,375,093 B2

DATED:

May 20, 2008

INVENTOR(S): Tice et al.

It is certified that error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below.

In claim 1, column 101, line 50, please delete "1-C<sub>6</sub>)haloalkylthio" and insert therefor -- (C<sub>1</sub>-C<sub>6</sub>)haloalkylthio --;

column 102, line 37, please delete "(C<sub>1</sub>-C<sub>6</sub>)alkylsulfinyl(Ct-C<sub>6</sub>)alkyl" and insert therefor -- (C<sub>1</sub>-C<sub>6</sub>)alkylsulfinyl(C<sub>1</sub>-C<sub>6</sub>)alkyl --;

column 102, lines 38-39, please delete "C<sub>1</sub>-C<sub>6</sub>)alkylamino(C<sub>1</sub>-C<sub>6</sub>)alkyl" and insert therefor -- (C<sub>1</sub>-C<sub>6</sub>)alkylamino(C<sub>1</sub>-C<sub>6</sub>)alkyl --;

column 102, line 56, please delete "i-C<sub>2</sub>)alkylthio(C<sub>1</sub>-C<sub>2</sub>)alkyl" and insert therefor -- (C<sub>1</sub>-C<sub>2</sub>)alkylthio(C<sub>1</sub>-C<sub>2</sub>)alkyl --;

column 103, line 15, please delete "1-C<sub>3</sub>)alkoxycarbonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkoxycarbonyl --;

column 103, line 57, please delete "0" and insert therefor -- O --;

column 103, lines 62-63, please delete "1-C3)alkylamino" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylamino --;

column 104, line 45, please delete "1-C<sub>6</sub>)haloalkylsulfinyl" and insert therefor

-- (C<sub>1</sub>-C<sub>6</sub>)haloalkylsulfinyl --; and

column 105, line 2, please delete "1-C3)alkylamino" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylamino --.

849656 3.DOC

MAILING ADDRESS OF SENDER (Please do not use customer number below):

1100 New York Avenue, NW

Washington DC 20005-3934

Atty. Dkt. No. 2584.0020001/RWE/MFG

SEP - 8 2003

This collection of information is required by 37 CFR 1.322, 1.323 and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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#### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 2 of 5

PATENT NO: 7,375,093 B2

DATED:

May 20, 2008

INVENTOR(S): Tice et al.

It is certified that error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below.

In claim 2, column 105, line 67, please delete "0, or" and insert therefor -- O, or S; --;

column 106, line 13, after "methoxyimino" please insert --; --;

column 106, line 26, please delete "1-C3)haloalkylsulfonyl, 1-C3)alkylamino " and insert therefor -- (C<sub>1</sub>-C<sub>3</sub>)haloalkylsulfonyl, (C<sub>1</sub>-C<sub>3</sub>)alkylamino --;

column 106, line 49, please delete "C<sub>3</sub>)alkoxycarbonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkoxycarbonyl --:

column 106, line 51, after "positions on" please insert -- R4 --;

column 106, lines 63-64, please delete "C1-C3)alkylsulfonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylsulfonyl --; and

column 106, line 67, please delete "di(C<sub>1</sub>-C<sub>2</sub>)alkylamino(C<sub>1</sub>-C<sub>9</sub>alkyl" and insert therefor -- di(C<sub>1</sub>-C<sub>2</sub>)alkylamino(C<sub>1</sub>-C<sub>2</sub>)alkyl --.

column 107, line 3, after "methoxyimino" please insert -- . --.

In claim 3, column 107, line 52, please delete "1-C<sub>3</sub>)alkylamino" and insert therefor -- (C<sub>1</sub>-C<sub>3</sub>)alkylamino --:

column 108, line 6, please delete "1-C<sub>3</sub>)alkoxycarbonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkoxycarbonyl --;

column 108, line 8, after "positions on" please insert -- R<sup>4</sup> --; column 108, line 15, please delete "1-C3)alkyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkyl --; and

column 108, line 20, please delete "1-C4)alkoxycarbonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>4</sub>)alkoxycarbonyl --.

849656 3.DOC

MAILING ADDRESS OF SENDER (Please do not use customer number below):

SEP - 8 2008

1100 New York Avenue, NW

Washington DC 20005-3934

Atty. Dkt. No. 2584.0020001/RWE/MFG

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page <u>3</u> of <u>5</u>

PATENT NO: 7,375,093 B2

DATED: May 20, 2008

INVENTOR(S): Tice et al.

It is certified that error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below.

In claim 4, column 108, line 57, please delete "of( $C_1$ - $C_3$ )alkyl" and insert therefor -- of ( $C_1$ - $C_3$ )alkyl --;

column 108, line 58, please delete "(C<sub>1</sub>-C<sub>4</sub>alkoxycarbonyl" and insert therefor -- (C<sub>1</sub>-C<sub>4</sub>)alkoxycarbonyl --; and

column 108, line 64, please delete ";" and insert therefor-- . --.

In claim 5, column 109, line 5, please delete ";" and insert therefor -- . --.

In claim 7, column 109, line 65, please delete "1-C<sub>6</sub>)haloalkylsulfonyl" and insert therefor -- (C<sub>1</sub>-C<sub>6</sub>)haloalkylsulfonyl --;

column 110, lines 3-4, please delete " $(C_1-C_6)$ alkoxy $(C_1-C_6)$ alkoxy

column 110, line 24, please delete "1-C3)alkylamino" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylamino --;

column 110, line 42, after "N, O, or", please insert -- S; --;

column 110, line 50, please delete "(C<sub>1</sub>-C<sub>2</sub>)alkylamino(Ct-C<sub>2</sub>)alkyl" and insert therefor

--  $(C_1-C_2)$ alkylamino $(C_1-C_2)$ alkyl --; and

column 111, line 20, please delete "1-C<sub>3</sub>)alkoxycarbonyl " and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkoxycarbonyl --.

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 4 of 5

PATENT NO: 7,375,093 B2

DATED: May 20, 2008

INVENTOR(S): Tice et al.

It is certified that error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below.

In claim 8, column 114, lines 10-11, please delete "1- $C_6$ )alkoxy( $C_3$ - $C_6$ )cycloalkyl" and insert therefor -- ( $C_1$ - $C_6$ )alkoxy( $C_3$ - $C_6$ )cycloalkyl --;

column 114, line 37, after "cyano(C<sub>1</sub>-C<sub>3</sub>)alkyl please insert --; --;

column 114, line 49, please delete "0, or" and insert therefor -- O, or S; --;

column 114, line 54, please delete "1-C3)alkylsulfonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylsulfonyl --; and

column 115, line 10, please delete "cyano(C<sub>1</sub>-.C<sub>6</sub>)alkyl" and insert therefor

-- cyano(C<sub>1</sub>-C<sub>6</sub>)alkyl --.

column 115, line 22, please delete "(C 1-C3)alkylsulfonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylsulfonyl --;

column 115, lines 51-52, please delete "1-C<sub>6</sub>)haloalkoxy" and insert therefor

-- (C<sub>1</sub>-C<sub>6</sub>)haloalkoxy --;

column 116, line 20, please delete "C<sub>1</sub>-C<sub>6</sub>)haloalkylsulfonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>6</sub>)haloalkylsulfonyl --;

column 116, line 47, please delete "1-C3)alkylsulfonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylsulfonyl --; and

column 117, lines 8-9, please delete "1-C<sub>3</sub>)alkylaminocarbonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylaminocarbonyl --.

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page <u>5</u> of <u>5</u>

PATENT NO:

7,375,093 B2

DATED:

May 20, 2008

INVENTOR(S): Tice et al.

It is certified that error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below.

In claim 9, column 117, line 50, please delete "1- $C_6$ )haloalkylthio" and insert therefor -- ( $C_1$ - $C_6$ )haloalkylthio --;

column 117, line 52, please delete " $(C_1-C_6)$ halocycloalkylamino" and insert therefor --  $(C_3-C_6)$ halocycloalkylamino --;

column 118, line 42, please delete "1-C<sub>3</sub>)alkylamino" and insert therefor -- (C<sub>1</sub>-C<sub>3</sub>)alkylamino --;

column 119, line 3, please delete "1-C3)alkylaminocarbonyl" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylaminocarbonyl --; and

column 121, line 14, please delete "1-C3)alkylamino" and insert therefor

-- (C<sub>1</sub>-C<sub>3</sub>)alkylamino --.

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I hereby appoint:    X					
Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):    Name   Registration   Number					
Practitionar(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):    Name   Registration   Number   Number   Registration   Number   Num					
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The state of the s					
Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:					
X The artidress associated with Customer Number: 80011					
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Assignee Name and Address:					
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Blacksburg, VA 24060					
A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.					
SIGNATURE of Assignee of Record					
The individual whose signature and title is supplied below is authorized to act on behalf of the assignee					
Signature Date Lp//2/2008					
Name. Rick Sterling Telephone 540-961-0725					

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STATEMENT UNDER 37 CFR 3.7	73(b) Atty. Dkt. No. 2584.0020001/RWE/MFG		
Applicant/Patent Owner: TICE et al.			
Application No./Patent No.: 7,375,093 B2 Filed/Issue Date:	May 20, 2008		
Entitled: Ketone Ligands for Modulating the Expression of Exog	enous Genes Via An Ecdysone		
Receptor Complex			
Intrexon Corporation , a	corporation		
(Name of Assignee) (Type of Assignee, e.g., corp	poration, partnership, university, government agency, etc.)		
states that it is:  1. $\boxed{X}$ the assignee of the entire right, title, and interest; or			
an assignee of less than the entire right, title and interest     (The extent (by percentage) of its ownership interest is%)			
in the patent application/patent identified above by virtue of either:			
A. An assignment from the inventor(s) of the patent application/patent identified in the United States Patent and Trademark Office at Reel, thereof is attached.	fied above. The assignment was recorded Frame, or for which a copy		
OR  B. X A chain of title from the inventor(s), of the patent application/patent identification.  Colin M. Tice, Enrique L. Michelotti	-		
1. From: Robert E. Hormann To:  The document was recorded in the United States Patent and Trade	Rohm and Haas Company .		
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X Additional documents in the chain of title are listed on a supplemental	sheet.		
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.  [NOTE: A separate copy ( <i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]			
The undersigned (whose title is supplied below) is authorized to act on behalf of	•		
Lobulw. Stmens	Setpember 4, 2008		
Signature	Date		
Robert W. Esmond, Reg. No. 32,893			
Printed or Typed Name	Telephone-Number 2011		
Authorized Agent Title	SEP - g 2003		

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# Statement Under 37 CFR 3.73(b) (PTO/SB/96) Supplemental Sheet for Chain of Title, Item B

Applic	ant/Owner:	TICE et al.				
Applic	ation No./Pate	ent No.:	7,375,093 B2			
Title	ation Date/Iss Ketone Liga Receptor Co	nds for Mo	May 20, 2008  dulating the Express	ion of Exo <sub>l</sub>	genous Genes Via An Ecdysone	
Name	of Assignee:	Intrexon	Corporation			
	f Assignee:					
Part B	Continued: A	dditional D	ocuments in the Chain	of Title		
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5.	From: U	niversity of P	ittsburgh Medical Center	To:	Rheogene Holdings, Inc.	
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